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Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADEMA **Application Number** 10/688,214 TRANSMITTAL October 15, 2003 Filing Date FORM First Named Inventor Ivan Osorio (to be used for all correspondence after initial filing) Art Unit 1614 **TBD Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 1 011738 00137

Total Hambor of Lago	o iii Tino Gabiinooloii	Attorney Booket Number	011730:00137		
ENCLOSURES (check all that apply)					
Fee Transmittal Form		☐ Drawing(s)	After Allowance Communication to Group		
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	eply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of Time Request		Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund	-Form PTO/SB/08a and b -International Search Report		
Supplemental Information Disclosure Statement		CD, Number of CD(s)	-Certificate of Express Mailing -Return Receipt Postcard		
Certified Copy of Priority Document(s)		Remarks The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.			
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm <i>or</i> Individual name	Binal J. Patel				
Signature	K	1			
Date	May 11, 2005				
CERTIFICATE OF TRANSMISSION/MAILING					

CERTIFICATE OF TRANSMISSION/MAILING

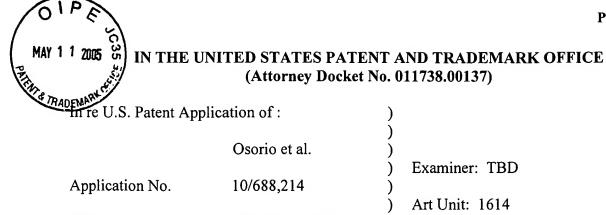
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Filed: October 15, 2003

For: Cycle Mode Providing Redundant
Back-Up to Ensure Termination
Of Treatment Therapy In A Medical

Device System

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. Section 1.97-1.98, Applicants wish to make the following references listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56.

Applicants believe no fees are due in connection with the filing of this Supplemental Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fees that may be due or credit any overpayment of fees to our Deposit Account No. 19-0733.

### Respectfully submitted,

### BANNER & WITCOFF, LTD.

Dated: May 11, 2005

By:

Binal Patel

Reg. No. 42,065

Banner & Witcoff 10 South Wacker Dr. Suite 3000 Chicago, IL 60606 (312) 463-5000 - phone (312) 463-5001 - fax

PTO/SB/08a (08-03)

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Substitute for form 144 APTO.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	1	
Application Number	10/688,214		
Filing Date	October 15, 2003		
First Named Inventor	Ivan Osorio		
Art Unit	1614		
Examiner Name	TBD		
Attorney Docket Number	011738.00137		

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Issue/Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
Initials * No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
		US- 3,735,756	05/29/1973	Richards, et al.	
		US- 4,844,075	07/04/1989	Liss, et al.	
		US- 5,315,994	05/31/1994	Guibert, et al.	
		US- 6,021,352	02/01/2000	Christopherson, et al.	

Signature Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: CommIssioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449B/PTO Complete if Known Application Number 10/688,214 INFORMATION DISCLOSURE Filing Date October 15, 2003 STATEMENT BY APPLICANT First Named Inventor Ivan Osorio Art Unit 1614 (Use as many sheets as necessary) **TBD** Examiner Name Sheet of | 2 011738.00137 Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		International Search Report (6 pages)	
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Examiner Signature	!	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450.

## CERTIFICATE OF MAILING (PATENT APPLICATION)

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Deposited: May 11, 2005

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By: Rafael Perey

Application of:

Osorio, et al.

Application No.:

10/688,214

Filing Date:

October 15, 2003

Title:

Cycle Mode Providing Redundant Back-Up To Ensure Termination Of

Treatment Therapy In A Medical Device System

Transmitted herewith are the following documents:

 $\underline{X}$  Transmittal Form (1 page) in duplicate

X Supplemental Information Disclosure Statement (2 pages)

X Form PTO/SB/08a and b (2 pages)

X Copy of International Search Report (6 pages)

X Return Postcard

Attorney Docket No.: <u>011738.00137</u>